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## APPLICATION FOR STATE OF ILLINOIS WINERY SHIPPER'S LICENSE ("DIRECT SHIPPING PERMIT")

**DEFINITION:** A Winery Shipper's License shall allow a person with a first-class or second-class wine manufacturer's license, a first-class or second-class wine-maker's license or a limited wine manufacturer's license or who is licensed to make wine under the laws of another state to ship wine made by that licensee directly to a resident of this State who is 21 years of age or older for that resident's personal use and not for resale.

The following are considered categories of winery shipper's licenses. **Please check ONE of the categories listed below and on Page 2** that applies to the type/class of license for which application is being made. Be sure to obtain and complete all of the required supporting documents for the particular license class.

**A.  WINERY SHIPPER'S LICENSE FOR ILLINOIS FIRST-CLASS WINE MANUFACTURER FEE: \$150.00**

A first-class wine manufacturer who obtains a Winery Shipper's License can sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. A first-class wine manufacturer who produces less than 25,000 gallons per year may apply for a self-distribution exemption (attached) allowing for the sale of not more than 5,000 gallons of wine to retail licensees per year. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about tax bonds? Please call 217-782-6045).**

**B.  WINERY SHIPPER'S LICENSE FOR ILLINOIS SECOND-CLASS WINE MANUFACTURER FEE: See below**

A second-class wine manufacturer who obtains a Winery Shipper's License can sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. Please note, a second-class wine manufacturer likely produces more than 25,000 gallons of wine per year and therefore **WILL NOT QUALIFY** for a self-distribution exemption. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about tax bonds? Please call 217-782-6045).**

**Winery Shipper's License Fees for Second-Class Wine Manufacturers:**

- CLASS 1 (not to exceed 250,000 gallons manufactured annually): \$150.00
- CLASS 2 (not to exceed 500,000 gallons manufactured annually): \$500.00
- CLASS 3 (over 500,000 gallons manufactured annually): \$1,000.00

**C. WINERY SHIPPER'S LICENSE FOR ILLINOIS FIRST-CLASS WINE MAKER****FEE: \$150.00**

A first-class wine maker who obtains a Winery Shipper's License can sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. A first-class wine maker's license shall allow the manufacture of up to 50,000 gallons of wine per year, and the storage and sale of such wine to distributors in the State and to persons without the State, as may be permitted by law. A first-class wine maker who produces less than 25,000 gallons per year may apply for a self-distribution exemption (attached) allowing for the sale of not more than 5,000 gallons of wine to retail licensees per year. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about Tax Bonds? Please call 217-782-6045).**

**D.  WINERY SHIPPER'S LICENSE FOR ILLINOIS SECOND-CLASS WINE MAKER****FEE: See below**

A second-class wine maker who obtains a Winery Shipper's License can sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. Please note, a second-class wine maker likely produces more than 25,000 gallons of wine per year and therefore **WILL NOT QUALIFY** for a self-distribution exemption. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about Tax Bonds? Please call 217-782-6045).**

**Winery Shipper's License Fees for Second-Class Wine Manufacturers:**

- CLASS 1 (not to exceed 250,000 gallons manufactured annually): \$150.00
- CLASS 2 (not to exceed 500,000 gallons manufactured annually): \$500.00
- CLASS 3 (over 500,000 gallons manufactured annually): \$1,000.00

**E.  WINERY SHIPPER'S LICENSE FOR ILLINOIS LIMITED WINE MANUFACTURER****FEE: \$150.00**

A limited wine manufacturer who obtains a Winery Shipper's License can sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. A limited wine-manufacturer may make sales and deliveries not to exceed 40,000 gallons of wine per year to distributors, and to non-licensees in accordance with the Illinois Liquor Control Act. A limited wine manufacturer who produces less than 25,000 gallons per year may apply for a self-distribution exemption (attached) allowing for the sale of not more than 5,000 gallons of wine to retail licensees per year. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about Tax Bonds? Please call 217-782-6045).**

**F.  OUT-OF-STATE WINERY SHIPPER'S LICENSE****FEE: See below**

An Out-of-State Winery Shipper's License shall allow a person who is licensed to make wine under the laws of another state to sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. This wine must be for personal use and not for resale. An out-of-state winery must provide the Commission with a copy of its current license in the state in which it is licensed as a manufacturer. An out-of-state winery who produces less than 25,000 gallons per year may apply for a self-distribution exemption (attached) allowing for the sale of not more than 5,000 gallons of wine to retail licensees per year. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about Tax Bonds? Please call 217-782-6045).**

**Winery Shipper's License Fees for Second-Class Wine Manufacturers:**

- CLASS 1 (not to exceed 250,000 gallons manufactured annually): \$150.00
- CLASS 2 (not to exceed 500,000 gallons manufactured annually): \$500.00
- CLASS 3 (over 500,000 gallons manufactured annually): \$1,000.00

**FOR OFFICE  
USE ONLY**

LICENSE NO.

ILLINOIS BUSINESS TAX # (DO NOT FILL IN SPACE BELOW)

DATE ISSUED

EXPIRATION DATE

COUNTER

**Application for State of Illinois Winery Shipper's Liquor License**

**1. APPLICANT - CORPORATE INFORMATION**

If you want your renewal application, your license certificate and other ILCC correspondence sent to your "corporate" address, please check this box.

**A. CURRENT ILLINOIS LIQUOR LICENSE NUMBER**

If applicable, enter your current Illinois Liquor License number in the box below. Current licensee: Please ensure all your brands/products are registered in the State of Illinois. If your brands are not properly registered, please complete the attached Registration Statement and attach copies of your federal label approvals.

ILLINOIS LIQUOR LICENSE # (IF APPLICABLE)

**B. FEDERAL EMPLOYER IDENTIFICATION NUMBER (FEIN)**

Enter the nine-digit Federal Employer Identification Number (FEIN) in the box below. **YOU MUST HAVE THIS NUMBER IN ORDER FOR A LICENSE TO BE ISSUED.** If you need to obtain an FEIN number, call 1-800-829-3676 for general information on how to apply and to obtain the forms you will need.

FEIN #

**C. TELEPHONE**

Enter the area code/telephone number/extension of the sole proprietorship, corporation, etc.

AREA CODE/TELEPHONE NO.
EXT.

**D. ILLINOIS COUNTY**

Enter the Illinois county where the sole proprietorship, corporation, etc. is located. If outside of Illinois, enter "out-of-state."

ILLINOIS COUNTY (ENTER "OUT-OF-STATE" IF OUTSIDE ILLINOIS)

**E. NAME**

Enter the name of the sole proprietorship (assumed name), partnership, corporation (Illinois, national, or foreign), or limited liability company in this box. **NOTE: This name must be consistent with the name printed on your federal basic permit.**

NAME

**F. ADDRESS**

Enter the street address, city, state, and Zip Code of the sole proprietorship, corporation, etc..

ADDRESS	CITY	STATE	ZIP CODE

## 2. STATUS OF BUSINESS

Check the applicable box (assumed name/sole proprietorship, partnership, Illinois corporation, foreign corporation, limited liability company) which corresponds to your business' official papers filed with the Office of the Secretary of State.

Based on the box that you check, provide the date of the filing of the sole proprietorship/assumed name with the county clerk; in the case of a co-partnership, the date of formation of the partnership; in the case of an Illinois corporation, the date of its incorporation; in the case of a foreign corporation, the foreign state where it was incorporated and the date, as well as the date of its becoming qualified under the "Business Corporation Act of 1983" to transact business in the State of Illinois; in the case of a limited partnership, the date of formation of such partnership; or in the case of a limited liability company, the date of formation of such entity.

- A.  ASSUMED NAME                      DATE FILED WITH COUNTY CLERK: \_\_\_\_\_
- B.  PARTNERSHIP                              DATE OF FORMATION: \_\_\_\_\_
- C.  ILLINOIS CORPORATION                  DATE OF INCORPORATION: \_\_\_\_\_
- D.  FOREIGN CORPORATION                  STATE OF INCORPORATION: \_\_\_\_\_ DATE QUALIFIED TO DO BUSINESS IN IL: \_\_\_\_\_
- E.  LIMITED LIABILITY COMPANY              DATE FORMED: \_\_\_\_\_

## 3. OWNERSHIP INFORMATION

Provide the owner/officer/partner information in accordance with the business status described under Question 2. This information must be submitted for all owners/officers/partners. The same information must be submitted for shareholders with interests equal to or exceeding 5%.

The following information must be provided by every individual applicant, sole proprietor, partner, corporate officer, managing member, manager, or agent of the business, and any person owning equal to or more than 5% of the business. If additional space is needed, provide information on a separate sheet(s) in the same format as this application requires. **All Not-for-profit organizations and associations must provide the requested information for all corporate officers, directors and managers.**

Percentage ownership should equal 100%. If there are a number of owners with less than 5% ownership, indicate the aggregate total of ownership under E.

A.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION		AREA CODE/TELEPHONE NO.		% OWNED

B.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION		AREA CODE/TELEPHONE NO.		% OWNED

C.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION		AREA CODE/TELEPHONE NO.		% OWNED

D.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION		AREA CODE/TELEPHONE NO.		% OWNED

E. TOTAL PERCENTAGE OF ALL STOCK HELD BY ALL PERSONS WITH LESS THAN 5% INTEREST \_\_\_\_\_ %

#### 4. ELIGIBILITY QUESTIONS

**These questions apply to the applicant and any other person listed under Section 3. These questions MUST be answered. IF THE QUESTIONS ARE NOT CHECKED, THE APPLICATION WILL BE REJECTED. If any question is checked "yes", a written, detailed explanation is required and must be attached to this application.**

- 4-1  YES  NO HAVE YOU FAILED OR NEGLECTED TO REGISTER WITH THE FEDERAL TAX & TRADE BUREAU (TTB)? IF SO, PLEASE CONTACT THE TTB AT 800-937-8864 OR 513-684-2979.
- 4-2  YES  NO HAVE YOU FAILED OR NEGLECTED TO REGISTER WITH THE ILLINOIS LIQUOR CONTROL COMMISSION ALL BRANDS/PRODUCTS MADE OR DIRECTLY SHIPPED INTO ILLINOIS?
- 4-3  YES  NO DO YOU PRODUCE MORE THAN 25,000 GALLONS OF WINE PER YEAR? (no written explanation necessary)
- 4-4  YES  NO HAVE YOU EVER BEEN CONVICTED OF A VIOLATION OF ANY FEDERAL OR STATE LAW CONCERNING THE MANUFACTURE, POSSESSION, OR SALE OF ALCOHOLIC LIQUOR, SUBSEQUENT TO THE PASSAGE OF THE ILLINOIS LIQUOR CONTROL ACT OR HAVE YOU FORFEITED YOUR BOND TO APPEAR IN COURT TO ANSWER CHARGES FOR ANY SUCH VIOLATION?
- 4-5  YES  NO ARE YOU DELINQUENT IN THE PAYMENT OF ANY ILLINOIS BUSINESS TAXES (SALES, WITHHOLDING, ETC.)?
- 4-6  YES  NO HAVE YOU EVER BEEN DENIED QUALIFICATION UNDER THE BUSINESS CORPORATION ACT OF 1983 TO TRANSACT BUSINESS IN ILLINOIS?
- 4-7  YES  NO HAVE YOU EVER APPLIED FOR AND BEEN DENIED A LIQUOR LICENSE?
- 4-8  YES  NO HAVE YOU HAD ANY PREVIOUS LIQUOR LICENSE REVOKED?
- 4-9  YES  NO HAVE YOU EVER BEEN CONVICTED OF A FELONY?
- 4-10  YES  NO HAVE YOU EVER BEEN CONVICTED OF A GAMBLING OFFENSE AS DEFINED UNDER SECTION 5/6-2 OF THE ACT WHICH INCLUDES OFFENSES ENUMERATED IN 720 ILCS 5/28-1(a)1-11, "GAMBLING;" 720 ILCS 5/28-1.1(a)-(d) "SYNDICATED GAMBLING;" AND 720 ILCS 5/28-3 "KEEPING A GAMBLING PLACE"?
- 4-11  YES  NO ARE YOU, OR ANY OTHER PERSON WITH A DIRECT INTEREST IN YOUR PLACE OF BUSINESS, A PUBLIC OFFICIAL OR LAW ENFORCEMENT OFFICIAL IN THE SAME JURISDICTION AS THE LICENSE?
- 4-12  YES  NO HAVE YOU RECEIVED OR BORROWED MONEY OR ANYTHING OF VALUE DIRECTLY OR INDIRECTLY FROM ANY OTHER LICENSEES, REPRESENTATIVES OF A LICENSEE, OR SUPPLIERS OF ALCOHOLIC PRODUCTS?
- 4-13  YES  NO IF OPERATING AS A SOLE PROPRIETORSHIP OR A PARTNERSHIP, ARE YOU OR YOUR PARTNER(S) CURRENTLY NOT CITIZENS OF THE UNITED STATES OR RESIDENT ALIENS WITH LEGAL STATUS?
- 4-14  YES  NO ARE YOU THE ACTUAL MANUFACTURER OF THE PRODUCT(S) DESCRIBED HEREIN?

#### 5. ILLINOIS DEPT. OF REVENUE TAX REQUIREMENTS

Once your State of Illinois Winery Shipper's License is issued, the Illinois Dept. of Revenue (IDOR) will be mailing you a Wine Shipper's Tax form (RL-26-W). Once you receive this form, you will have the option of filing this tax return and paying the tax due via the IDOR Electronic Filing Program at [www.tax.illinois.gov](http://www.tax.illinois.gov) (this option is free-of-charge) OR by mail. If you have tax-related questions, please call 217-782-6045.

#### 6. SIGNATURE/TITLE/DATE

Please sign and date the application form and provide your title with the organization. The application must be signed by an owner, an officer, a partner or an officially authorized agent of the business. The signature must be an original, rubber stamps are not accepted.

I, THE UNDERSIGNED APPLICANT OR AUTHORIZED AGENT THEREOF, SWEAR OR AFFIRM THAT: THE MATTERS STATED IN THE FOREGOING APPLICATION ARE TRUE AND CORRECT; THEY ARE MADE UPON MY PERSONAL KNOWLEDGE AND INFORMATION; THEY ARE MADE FOR THE PURPOSE OF REQUESTING THE STATE OF ILLINOIS TO ISSUE THE LICENSE HEREIN APPLIED FOR; THE APPLICANT IS QUALIFIED AND ELIGIBLE TO OBTAIN THE LICENSE APPLIED FOR; AND THE APPLICANT WILL NOT VIOLATE ANY OF THE LAWS OF THE UNITED STATES OF AMERICA OR THE STATE OF ILLINOIS, IN PARTICULAR, THE ILLINOIS LIQUOR CONTROL ACT, RULES AND REGULATIONS, AND THE CIVIL RIGHTS SECTIONS THEREOF.

**FURTHER, I AGREE TO NOTIFY THIS COMMISSION WITHIN 30 WORKING DAYS OF CHANGES IN ANY OF THE ABOVE INFORMATION.** (NOTE: IF THE PERSON SIGNING THIS APPLICATION IS NOT LISTED IN SECTION 3, THEY MUST PROVIDE THE STATE WITH THEIR PERSONAL INFORMATION AS INDICATED IN SECTION 3 EVEN IF THEY DO NOT OWN 5% OR MORE OF THE BUSI-

\_\_\_\_\_  
SIGNATURE OF APPLICANT/AUTHORIZED AGENT

\_\_\_\_\_  
TITLE/POSITION

\_\_\_\_\_  
DATE





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ILLINOIS LIQUOR LICENSE # (IF APPLICABLE)

# SELF-DISTRIBUTION EXEMPTION

## (for in-state and out-of-state wineries)

**TYPE OR PRINT INFORMATION**

<b>APPLICANT'S NAME (Individual or Corporation)</b>		<b>FEIN #</b>
<b>BUSINESS NAME (DBA)</b>		<b>BUSINESS PHONE</b> ( )
<b>BUSINESS STREET ADDRESS</b>		
<b>CITY</b>	<b>STATE</b>	<b>ZIP</b>
<p><i>I do hereby agree, if asked, that I will provide the following information to the Illinois Liquor Control Commission:</i></p> <p><i>a) Date business was established;</i></p> <p><i>b) Volume of production of sales for each year since business was established;</i></p> <p><i>c) Explanation of our efforts to establish distributor relationships;</i></p> <p><i>d) Explanation for the need to obtain a self-distribution exemption to facilitate the marketing of wine;</i></p> <p><i>I do hereby swear or affirm that I will comply with the liquor and revenue laws of the United States, Illinois, and any other state where I am licensed; I am not a member of any affiliated group that produces more than 25,000 gallons of wine per year or produces any other alcoholic liquor; and I will not annually produce more than 25,000 gallons of wine per year and will not annually sell more than 5,000 gallons of wine to retail licensees.</i></p>		
<b>PRINT FULL NAME OF APPLICANT</b>	<b>SIGNATURE OF APPLICANT</b>	<b>DATE</b>

# Directions for completing bond forms

*NOTE: You must complete ONE of the following:*

- 1) REG-4-A (Financial Responsibility Bond); and**
- 2) REG-4-D (Financial Institution Irrevocable Letter of Credit Bond)**

You must provide this information to the bank or insurance company that will be providing your bond. A separate bond is required for each location. You will not be issued a certificate of registration and cannot be legally registered to do business in Illinois until we receive your bond. Your bond can be in the form of:

## **1) Insurance bonds (Form REG-4-A):**

Form REG-4-A, Financial Responsibility Bond, must be completed entirely by your insurance company. Your business' name and address must be identical to the information you have registered with us. The insurance company issuing your bond must:

- Sign;
- Stamp their insurance seal;
- Assign a bond number; and
- If applicable, attach their power of attorney stating the attorney-in-fact's name.

All of your business' owners, officers, or partners must sign the bond. If you are a corporation, the president and secretary must sign the bond. You must also affix your corporate seal. **Note:** The original bond and power of attorney must be sent to us.

## **2) Letter of Credit (Form REG-4-D):**

Form REG-4-D, Financial institution Irrevocable Letter of Credit Bond, must be completed entirely by your bank if you are providing a bank letter of credit. Your business' name and address must be identical to the information you have registered with us. The bank issuing your letter of credit must:

- Stamp their bank seal; and
- Send to us the original bank letter of credit containing the seal.

**Note:** The Letter of Credit must be signed by an authorized officer of the banking institution.

## *Tax Rates:*

- 18.5 cents per gallon for beer or cider with an alcohol content of 0.5 percent to 7.0 percent;
- 73 cents per gallon for alcoholic liquor other than beer with an alcohol content of 14 percent or less (includes wine coolers and wine spirits);
- 73 cents per gallon for alcoholic liquor with an alcohol content of more than 14 percent and less than 20 percent;
- \$4.50 per gallon for alcoholic liquor with an alcohol content of 20 percent or more.

**Questions about Tax Bonds? Please call 217-782-6045.**



# REG-4-A

## Financial Responsibility Bond

### Part 1: Financial responsibility bond type and number

**a** Bond type:

**b** Financial responsibility bond number: \_\_\_\_\_

### Part 2: Taxpayer and financial institution information

We, \_\_\_\_\_ (as principal)  
Taxpayer's name and address

and

\_\_\_\_\_  
Name and address of surety (as surety)

are bound to the people of the State of Illinois in the penal sum of \$\_\_\_\_\_. We hereby bind ourselves, our heirs, executors, administrators, successors, and assigns to the payment of this amount.

The condition of this bond is that if the principal (taxpayer) identified above, who has applied for the tax responsibility (bond type) identified above, in Part 1, pays to the Illinois Department of Revenue (IDOR) all amounts becoming due from the principal (taxpayer) under this law, then the bond will become void; otherwise, the bond will remain in full force.

The surety identified above may conditionally cancel this bond at any time by filing a written notice with IDOR by registered or certified mail within \_\_\_\_\_ days. However, the surety is not discharged from any liability previously accrued under this bond or that may accrue before the \_\_\_\_\_ days expires.

### Part 3: Financial responsibility bond signatures and seal requirements

We have signed and sealed this bond on \_\_\_\_/\_\_\_\_/\_\_\_\_\_, to be effective \_\_\_\_/\_\_\_\_/\_\_\_\_\_.  
You must attach a power of attorney.

**(Principal's seal)**

**(Surety's seal)**

\_\_\_\_\_  
Principal's (taxpayer) signature

\_\_\_\_\_  
Surety's signature

\_\_\_\_\_  
Attorney-in-fact's signature

\_\_\_\_\_  
President's or co-partner's signature

\_\_\_\_\_  
Countersigned by

\_\_\_\_\_  
Corporate secretary's signature

\_\_\_\_\_  
Agent for surety

\_\_\_\_\_  
Number and street

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
ZIP

### For official use only

Date approved: \_\_\_\_/\_\_\_\_/\_\_\_\_\_  
Month Day Year

\_\_\_\_\_  
IDOR Director's signature

License number: \_\_\_\_\_



Financial Institution Irrevocable Letter of Credit Bond

Part 1: Financial institution letter of credit bond type and number

- a Bond type:
b Financial institution irrevocable letter of credit number:
c Bond amount: \$

Part 2: Taxpayer and financial institution information

Taxpayer:

Financial institution:

Name, Street address, City, State, ZIP fields for both Taxpayer and Financial institution.

Part 3: Effective and maturity date of bond

Effective date: / /
Month Day Year

Maturity date: / /
Month Day Year

Part 4: Bond conditions

If the taxpayer identified above, in Part 2, fails to pay the Illinois Department of Revenue (IDOR) all moneys, including penalties and interest, due under this bond type's tax act, IDOR is authorized to draw drafts on demand against this irrevocable letter of credit.

Part 5: Financial institution officer information

The undersigned officer of the financial institution identified above, in Part 2 is duly authorized by the Board of Directors to execute this irrevocable letter of credit; and this financial institution will honor all drafts on demand.

Name: Title:
Signature:

Part 6: Financial institution seal

The official seal of the financial institution must be affixed below.

For official use only

Date approved: / /
Month Day Year

IDOR Director's signature

License number: